

04/24/01

1049 U.S. PTO

04-25-01

Express Mail Mailing Label No. EL750476600US

A

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No.	MDS-009CN
	First Named Inventor	Modell et al.
	Title	Spectral Volume Microprobe Arrays

1033 U.S. PTO  
09/24/01

04/24/01

APPLICATION ELEMENTS	ADDRESS TO: Box Patent Application Assistant Commissioner for Patents Washington, D.C. 20231
1. <input checked="" type="checkbox"/> Fee Transmittal Form	<b>ACCOMPANYING APPLICATION PARTS</b>
2. <input checked="" type="checkbox"/> Small Entity Status <input type="checkbox"/> Applicant claims small entity status <input checked="" type="checkbox"/> Status established in prior application and is still proper and desired	
3. <input checked="" type="checkbox"/> Specification and Drawings [Total Pages 86] - Written Description - (47 pages) - Claims - (17 pages) - Abstract - (1 page) - Sheets of Drawings - (21 sheets) <input type="checkbox"/> Formal <input checked="" type="checkbox"/> Informal	
4. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages 1] a. <input type="checkbox"/> Newly executed (original) b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) [Note Box 5 below]	8. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee) <input type="checkbox"/> Power of Attorney
5. <input type="checkbox"/> Incorporation by Reference (usable if Box 4b is checked) The entire Disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.	9. <input type="checkbox"/> English Translation Document (if applicable)
6. <input checked="" type="checkbox"/> Application Data Sheet	10. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations
7. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission <input type="checkbox"/> Computer Readable Copy <input type="checkbox"/> Paper Copy (identical to computer copy) <input type="checkbox"/> CD (identical to computer copy) <input type="checkbox"/> Statement verifying identity of above copies	11. <input checked="" type="checkbox"/> Preliminary Amendment (6 pages) <input type="checkbox"/> Drawings [Total Sheets ] <input type="checkbox"/> Letter to Official Draftsperson Including Drawings [Total Pages ]
	12. <input checked="" type="checkbox"/> Return Receipt Postcard
	13. <input type="checkbox"/> Certified Copy of Priority Document(s)
	14. <input type="checkbox"/> Deletion of Inventor(s) Signed statement attached deleting inventor(s) named in the prior application.
	15. <input type="checkbox"/> CD in duplicate for large table or computer program.
	16. <input checked="" type="checkbox"/> Copy of Power of Attorney by Assignee of Entire Interest Revocation of Prior Powers and New Power of Attorney
17. <input checked="" type="checkbox"/> If a CONTINUING APPLICATION, amend the specification by inserting on page 1, before the first line, the sentence: --This is a <input checked="" type="checkbox"/> continuation <input type="checkbox"/> divisional <input type="checkbox"/> continuation-in-part of prior application Serial No. 09/241,806, filed on February 2, 1999, the entire disclosure of which is incorporated by reference herein.-- <b>Priority to the above application(s) is claimed under 35 U.S.C. 120.</b> Prior application information: Examiner: <u>Ruth S. Smith</u> . Group/Art Unit: <u>3737</u> .	
18. <input type="checkbox"/> Priority - 35 U.S.C. 119 <input type="checkbox"/> Priority of application Serial No. _____ filed on _____, which is a continuation -in-part of _____ is claimed under 35 U.S.C. 119. <input type="checkbox"/> The certified copy has been filed in prior U.S. application Serial No. _____/_____ on _____. <input type="checkbox"/> The certified copy will follow.	
<b>CORRESPONDENCE ADDRESS</b>	<b>SIGNATURE BLOCK</b>
Direct all correspondence to: Patent Administrator Testa, Hurwitz & Thibault, LLP High Street Tower 125 High Street Boston, MA 02110 Tel. No.: (617) 248-7000 Fax No.: (617) 248-7100	Date: April 24, 2001 Reg. No. 42,897 Tel. No.: (617) 248-7695 Fax No.: (617) 248-7100 Respectfully submitted, <i>Joseph B. Milstein</i> Joseph B. Milstein Atty/Agent for Applicant(s) Testa, Hurwitz & Thibault, LLP High Street Tower 125 High Street Boston, MA 02110

VER 1/01

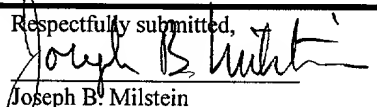
MILSTEIN\6219\15.2076775\_1

# FEE TRANSMITTAL

## FY 2001

Complete if Known

Application Serial Number	Not Yet Assigned
Filing Date	April 24, 2001
First Named Inventor	Not Yet Assigned
Group Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Attorney Docket No.	MDS-009CN

METHOD OF PAYMENT		FEE CALCULATION (continued)																																																																																			
1. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other		3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>130</td> <td>65</td> <td>Surcharge - late filing fee or oath</td> <td></td> </tr> <tr> <td>50</td> <td>25</td> <td>Surcharge - late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td>130</td> <td>130</td> <td>Non-English specification</td> <td></td> </tr> <tr> <td>2,520</td> <td>2,520</td> <td>For filing a request for reexamination</td> <td></td> </tr> <tr> <td>110</td> <td>55</td> <td>Extension for reply within first month</td> <td></td> </tr> <tr> <td>390</td> <td>195</td> <td>Extension for reply within second month</td> <td></td> </tr> <tr> <td>890</td> <td>445</td> <td>Extension for reply within third month</td> <td></td> </tr> <tr> <td>1,390</td> <td>695</td> <td>Extension for reply within fourth month</td> <td></td> </tr> <tr> <td>1,890</td> <td>945</td> <td>Extension for reply within fifth month</td> <td></td> </tr> <tr> <td>310</td> <td>155</td> <td>Notice of Appeal</td> <td></td> </tr> <tr> <td>310</td> <td>155</td> <td>Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>270</td> <td>135</td> <td>Request for oral hearing</td> <td></td> </tr> <tr> <td>130</td> <td>130</td> <td>Petitions to the Commissioner</td> <td></td> </tr> <tr> <td>50</td> <td>50</td> <td>Petitions related to provisional applications</td> <td></td> </tr> <tr> <td>180</td> <td>180</td> <td>Submission of Information Disclosure Statement</td> <td></td> </tr> <tr> <td>710</td> <td>355</td> <td>Filing a submission after final rejection (37 CFR 1.129(a))</td> <td></td> </tr> <tr> <td>710</td> <td>355</td> <td>For each additional invention to be examined (37 CFR 1.129(b))</td> <td></td> </tr> <tr> <td colspan="2">Other fee (Specify)</td> <td></td> <td></td> </tr> <tr> <td colspan="2">Other fee (Specify)</td> <td></td> <td></td> </tr> </tbody> </table>				Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid	130	65	Surcharge - late filing fee or oath		50	25	Surcharge - late provisional filing fee or cover sheet		130	130	Non-English specification		2,520	2,520	For filing a request for reexamination		110	55	Extension for reply within first month		390	195	Extension for reply within second month		890	445	Extension for reply within third month		1,390	695	Extension for reply within fourth month		1,890	945	Extension for reply within fifth month		310	155	Notice of Appeal		310	155	Filing a brief in support of an appeal		270	135	Request for oral hearing		130	130	Petitions to the Commissioner		50	50	Petitions related to provisional applications		180	180	Submission of Information Disclosure Statement		710	355	Filing a submission after final rejection (37 CFR 1.129(a))		710	355	For each additional invention to be examined (37 CFR 1.129(b))		Other fee (Specify)				Other fee (Specify)			
Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid																																																																																		
130	65	Surcharge - late filing fee or oath																																																																																			
50	25	Surcharge - late provisional filing fee or cover sheet																																																																																			
130	130	Non-English specification																																																																																			
2,520	2,520	For filing a request for reexamination																																																																																			
110	55	Extension for reply within first month																																																																																			
390	195	Extension for reply within second month																																																																																			
890	445	Extension for reply within third month																																																																																			
1,390	695	Extension for reply within fourth month																																																																																			
1,890	945	Extension for reply within fifth month																																																																																			
310	155	Notice of Appeal																																																																																			
310	155	Filing a brief in support of an appeal																																																																																			
270	135	Request for oral hearing																																																																																			
130	130	Petitions to the Commissioner																																																																																			
50	50	Petitions related to provisional applications																																																																																			
180	180	Submission of Information Disclosure Statement																																																																																			
710	355	Filing a submission after final rejection (37 CFR 1.129(a))																																																																																			
710	355	For each additional invention to be examined (37 CFR 1.129(b))																																																																																			
Other fee (Specify)																																																																																					
Other fee (Specify)																																																																																					
2. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 20-0531. <input type="checkbox"/> Required Fees (copy of this sheet enclosed). <input checked="" type="checkbox"/> Additional fee required under 37 CFR 1.16 and 1.17. <input checked="" type="checkbox"/> Overpayment Credit.																																																																																					
3. <input checked="" type="checkbox"/> Applicant claims small entity status.																																																																																					
FEE CALCULATION																																																																																					
1. FILING FEE  <table border="1"> <thead> <tr> <th>Large Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>710</td> <td>Utility filing fee</td> <td>710.00</td> </tr> <tr> <td>320</td> <td>Design filing fee</td> <td></td> </tr> <tr> <td>150</td> <td>Provisional filing fee</td> <td></td> </tr> </tbody> </table> <table border="1"> <thead> <tr> <th></th> <th>Number Filed</th> <th>Number Extra</th> <th>Rate</th> <th>Amount</th> </tr> </thead> <tbody> <tr> <td>Total Claims</td> <td>20</td> <td>- 20 = 0</td> <td>x \$ 18.00 =</td> <td></td> </tr> <tr> <td>Independent Claims</td> <td>1</td> <td>- 3 = 0</td> <td>x \$ 80.00 =</td> <td></td> </tr> <tr> <td colspan="4"><input type="checkbox"/> Multiple Dependent Claim(s), if any</td> <td>\$270.00 =</td> </tr> <tr> <td colspan="4">TOTAL:</td> <td>710.00</td> </tr> <tr> <td colspan="4">SMALL ENTITY DISCOUNT:</td> <td>355.00</td> </tr> <tr> <td colspan="4">SUBTOTAL (1)</td> <td>(\$ 355.00)</td> </tr> </tbody> </table>		Large Entity Fee (\$)	Fee Description	Fee Paid	710	Utility filing fee	710.00	320	Design filing fee		150	Provisional filing fee			Number Filed	Number Extra	Rate	Amount	Total Claims	20	- 20 = 0	x \$ 18.00 =		Independent Claims	1	- 3 = 0	x \$ 80.00 =		<input type="checkbox"/> Multiple Dependent Claim(s), if any				\$270.00 =	TOTAL:				710.00	SMALL ENTITY DISCOUNT:				355.00	SUBTOTAL (1)				(\$ 355.00)																																					
Large Entity Fee (\$)	Fee Description	Fee Paid																																																																																			
710	Utility filing fee	710.00																																																																																			
320	Design filing fee																																																																																				
150	Provisional filing fee																																																																																				
	Number Filed	Number Extra	Rate	Amount																																																																																	
Total Claims	20	- 20 = 0	x \$ 18.00 =																																																																																		
Independent Claims	1	- 3 = 0	x \$ 80.00 =																																																																																		
<input type="checkbox"/> Multiple Dependent Claim(s), if any				\$270.00 =																																																																																	
TOTAL:				710.00																																																																																	
SMALL ENTITY DISCOUNT:				355.00																																																																																	
SUBTOTAL (1)				(\$ 355.00)																																																																																	
2. AMENDMENT CLAIM FEES <table border="1"> <thead> <tr> <th>Claims Remaining After Amend.</th> <th>Highest No. Previously Paid For</th> <th>Present Extra</th> <th>Rate</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>Total</td> <td>-</td> <td>=</td> <td>x \$ 18.00 =</td> <td></td> </tr> <tr> <td>Indep.</td> <td>-</td> <td>=</td> <td>x \$ 80.00 =</td> <td></td> </tr> <tr> <td colspan="4"><input type="checkbox"/> First Presentation of Multiple Dep. Claim</td> <td>+ \$270.00 =</td> </tr> <tr> <td colspan="4">TOTAL:</td> <td>(\$)</td> </tr> <tr> <td colspan="4">SMALL ENTITY DISCOUNT:</td> <td>(\$)</td> </tr> <tr> <td colspan="4">SUBTOTAL (2)</td> <td>(\$)</td> </tr> </tbody> </table>		Claims Remaining After Amend.	Highest No. Previously Paid For	Present Extra	Rate	Fee Paid	Total	-	=	x \$ 18.00 =		Indep.	-	=	x \$ 80.00 =		<input type="checkbox"/> First Presentation of Multiple Dep. Claim				+ \$270.00 =	TOTAL:				(\$)	SMALL ENTITY DISCOUNT:				(\$)	SUBTOTAL (2)				(\$)	SUBTOTAL (3) (\$)  SUBTOTAL (1) 355.00 SUBTOTAL (2) SUBTOTAL (3)  TOTAL (\$ 355.00)																																																
Claims Remaining After Amend.	Highest No. Previously Paid For	Present Extra	Rate	Fee Paid																																																																																	
Total	-	=	x \$ 18.00 =																																																																																		
Indep.	-	=	x \$ 80.00 =																																																																																		
<input type="checkbox"/> First Presentation of Multiple Dep. Claim				+ \$270.00 =																																																																																	
TOTAL:				(\$)																																																																																	
SMALL ENTITY DISCOUNT:				(\$)																																																																																	
SUBTOTAL (2)				(\$)																																																																																	
CORRESPONDENCE ADDRESS		SIGNATURE BLOCK																																																																																			
Direct all correspondence to: Patent Administrator Testa, Hurwitz & Thibault, LLP High Street Tower-125 High Street Boston, MA 02110 Tel. No.: (617) 248-7000 Fax No.: (617) 248-7100		Respectfully submitted,  Date: April 24, 2001 Reg. No.: 42,897 Tel. No.: 617-248-7695 Fax No.: (617) 248-7100 Joseph B. Milstein Attorney for the Applicants Testa, Hurwitz & Thibault, LLP High Street Tower-125 High Street Boston, MA 02110																																																																																			